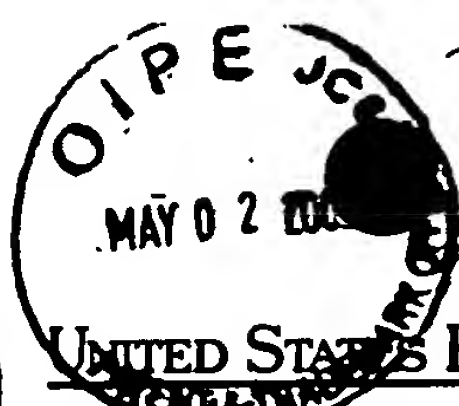


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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,630	11/20/2001	Francis Ford Coppola	01-374

CONFIRMATION NO. 9977

## FORMALITIES LETTER



\*OC00000007613422\*

 Alison J. Baldwin  
 McDonnell Boehnen Hulbert & Berghoff  
 32nd Floor  
 300 S. Wacker Drive  
 Chicago, IL 60606

DOCKETED

MAR 15 2002

DUE DATE:

5/11/02

Date Mailed: 03/11/2002

BY:

KB CB

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2002 YPOLITE1 00000048 09989630

01 FC:205

65.00 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-374)

APPLICATION OF:

Francis Ford Coppola

Serial No. 09/989,630

Filed: November 20, 2001

Title: Disc Holder Adapted For  
Attachment To A Bottle

Examiner: To Be Determined

Group Art Unit: 3728

## TRANSMITTAL LETTER

BOX Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In regard to the above identified application:

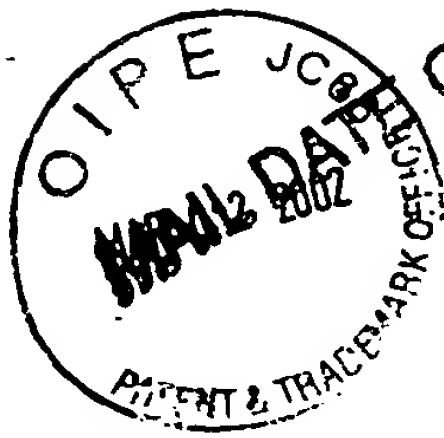
1. We are transmitting herewith the attached:
  - a. Response to Notice to File Missing Parts;
  - b. Executed Declaration and Power of Attorney;
  - c. Copy of Notice to File Missing Parts; and
  - d. Postcard.
2. With respect to additional fees:
 

☒ Check in the amount of \$65.00.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on this 22<sup>nd</sup> day of April, 2002.

By:

*Alison J. Baldwin*  
Alison J. Baldwin  
Reg. No. 48,968

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CERTIFICATE OF MAILING  
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231, on the date below:

4/22/02 Date *Yulanda Buenastro* Signature

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-374)

IN THE APPLICATION OF: )  
Francis Ford Coppola )  
Serial No. 09/989,630 ) Examiner: To Be Determined  
Filed: November 20, 2001 ) Group Art Unit: 3728  
Title: Disc Holder Adapted For )  
Attachment To A Bottle )

RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), applicants submit herewith an executed combined Declaration and Power of Attorney.

In response to the Notice of Missing Parts, applicants include herewith a check in the amount of \$65.00 to satisfy the outstanding filing fee balance. Please charge any underpayment or credit any over payment to our Deposit Account, No. 13-2490.

All inventors were not named when this application was filed. Pursuant to 37 C.F.R. § 1.48(f)(1), the enclosed Declaration, which names all inventors, corrects the inventorship. Failure to name all inventors upon filing of this application was inadvertent.

Respectfully submitted,

Date:

April 22, 2002

Alison J. Baldwin  
Alison J. Baldwin  
Registration No. 48,968

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McDonnell Boehnen Hulbert & Berghoff  
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Facsimile: 312-913-0002